

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

01/04/02

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	Attorney Docket No.	2581.1004-004
	First Named Inventor or Application Identifier	Jerome B. Posner
	Express Mail Label No.	EV 052028619 US

Title of Invention	Ma FAMILY POLYPEPTIDES AND ANTI-Ma ANTIBODIES
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APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification Total Pages [47] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets [18] <input type="checkbox"/> Fig. of the Drawings for Publication <input type="checkbox"/> <input checked="" type="checkbox"/> No Figure to be Published 4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages [9] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	6. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Computer Readable Form b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) [14] Pages c. <input checked="" type="checkbox"/> Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Sloan-Kettering Institute for Cancer Research	
8. <input type="checkbox"/> Power of Attorney <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement	
9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
11. <input type="checkbox"/> Preliminary Amendment	
12. <input checked="" type="checkbox"/> Return Receipt Postcard	
13. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, status still proper and desired	
14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365	
14b. <input type="checkbox"/> Certified Copy of Priority Document(s)	
15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>	
16. <input checked="" type="checkbox"/> Other <u>Transmittal of Declaration/POA and Notice of Change of Correspondence Address</u>	

17. **If a CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/189,527

Prior application information: Examiner: K. Canella Group Art Unit: 1642

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.
(Add standard Related Applications section with incorporation by reference to specification or update same)

18. CORRESPONDENCE ADDRESS					
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Signature	<i>David E. Brook, Jr. 2258A</i>	Date	1/4/02
Submitted by Typed or Printed Name	for Elizabeth W. Mata	Reg. Number	38,236

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS		Attorney Docket Number		2581.1004-004	
		Application Number			
		First Named Inventor		Jerome B. Posner	
CLAIM CALCULATION (includes any preliminary amendment)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	10 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>	3 - 3** =	0	x \$ 84 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) <small>(37 CFR 1.16(d))</small>			+ \$ 280 =	\$
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 740
				Total of above Calculations =	\$ 740
	Reduction by 50% for filing by small entity <small>(37 CFR 1.9, 1.27, 1.28)</small> =			\$	
	TOTAL =			\$ 740	
	Surcharge - Late Filing of Declaration or Filing Fees <small>(37 C.F.R. 1.16(e))</small> =			\$	
	Petition for Extension of Time Fee <small>(37 C.F.R. 1.17)</small> =			\$	
	Assignment Recordation Fee = <small>(only when filed with application)</small>			\$	
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 740	
<p>1. Small entity status:</p> <p>a. <input type="checkbox"/> A small entity statement is enclosed.</p> <p>b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p>c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$740.00. <input type="checkbox"/> Please charge \$[] to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p>					
Signature <i>Elizabeth W. Mata</i>			Date	1/14/02	
Submitted by Typed or Printed Name			Elizabeth W. Mata		Reg. Number 38,236

2010-08-04 09:02:00

Date: <u>1/4/02</u>
EXPRESS MAIL LABEL NO. <u>EV 052028619 US</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jerome B. Posner, Josep O. Dalmau and Myrna R. Rosenfeld

Title: Ma FAMILY POLYPEPTIDES AND ANTI-Ma ANTIBODIES

TRANSMITTAL OF SEQUENCE LISTING IN COMPUTER READABLE FORM
IN COMPLIANCE WITH 37 C.F.R. §§1.821(e) AND (f)

Box Sequence
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicant's Attorney hereby states that the content of the "Sequence Listing" in paper form and of the computer readable form of the "Sequence Listing" are the same.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

David E. Brook, R.N. 22,592

By for Elizabeth W. Mata
Elizabeth W. Mata
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20101010 093200T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jerome B. Posner, Josep O. Dalmau and Myrna R. Rosenfeld

Divisional Application of: 09/189,527

Filed: November 10, 1998

For: Ma FAMILY POLYPEPTIDES AND ANTI-Ma ANTIBODIES

Date: <u>1/4/02</u>
EXPRESS MAIL LABEL NO. <u>EV 052028619 US</u>

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY AND
NOTICE OF CHANGE OF CORRESPONDENCE ADDRESS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

An executed Declaration/Power of Attorney document(s), is being filed concurrently in the above-referenced patent application. At page 2, the Declaration/Power of Attorney sets forth the former address of the undersigned in the appointment of agent and as correspondence address. Please send all correspondence to: Customer No. 021005, Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to **David E. Brook** at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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